

INTRADEPARTMENTAL CORRESPONDENCE

October 7, 2015
14.2

TO: The Honorable Board of Police Commissioners

FROM: Chief of Police

SUBJECT: AMENDED DEPARTMENT ANNUAL AUDIT PLAN FOR FISCAL YEAR
2015/16 (AD NO. 15-056)

RECOMMENDED ACTIONS

1. That the Board of Police Commissioners (Board) REVIEW and APPROVE the attached Los Angeles Police Department's (Department) Amended Annual Audit Plan (Plan) for Fiscal Year (FY) 2015/16, prepared by Audit Division (AD).

DISCUSSION

The Department's Annual Audit Plan is intended to be used as a guide by AD to audit the various areas within the Plan. As such, there have been minor changes made to the Plan based on the following; additional audits and non-audit services requested of AD throughout the year, pre-audit surveys, which resulted in several audits being postponed for the 2015/16 FY, and several audits being changed from the 2015/16 FY to the 2014/15 FY.

Audit Division strives to complete the audits in a timely manner, and will typically use a three month time frame as a benchmark for such; however, there are some audits that will inevitably require additional time to complete depending on the audit objectives and/or the temporary re-assignment of AD staff to high priority non-audit services at the request of the Director, Office of Constitutional Policing and Policy, Chief of Police or the Board.

If you have any questions or would like more detailed information, please contact Arif Alikhan, Director, Office of Constitutional Policing and Policy at (213) 486-8730.

Respectfully,



CHARLIE BECK
Chief of Police

Attachment

**AUDIT DIVISION
FISCAL YEARS 2014/15 AND 2015/16
ANNUAL AUDIT PLAN**

Audit No.	DEPARTMENT MANDATED AUDITS ¹	Last Completed	FY 2014/15	FY 2015/16
1	Categorical Use of Force Process Audit	FY 2013/14		X
2	Non-Categorical Use of Force Investigations Audit	FY 2012/13	X	
3	Employee Related Complaint Investigations Audit	FY 2012/13		X
4	Gang Enforcement Detail Audit	FY 2013/14	X	X
5	Narcotics Enforcement Detail Audit	FY 2013/14	X	X
6	Digital In Car Video System Audit	FY 2013/14	X	X
7	Handling of Mentally Ill Audit	FY 2012/13	X	
8	Search Warrant and Affidavit Audit	FY 2013/14		X
9	Arrest, Booking and Charging Audit	FY 2013/14		X
10	Confidential Informant Audit	FY 2013/14	X*	
	RISK BASED AUDITS			
11	Restraining and Protective Order Audit			X
12	Timekeeping Audit	FY 2013/14	X	
13	Employee Traffic Collision Audit	FY 2011/12	X	
14	Worker's Compensation Audit			X
15	Vehicle Pursuit Audit	FY 2012/13	X	
16	Suspected Child Abuse Report Audit		X*	
17	Missing/Found Persons Investigation Report Audit		X	
18	Crime Classification Audit	FY 2013/14	X	X
19	Special Problems Unit Audit			X*
20	Employee Special Accommodation Audit		X	
21	Failure to Qualify of Officers with Medical Exemptions Audit		X	
22	Standards Based Assessment Audit	FY 2013/14		X
23	Probationer Assessment Audit		X	

*Indicates changes from the previous Annual Audit Plan.

¹ These audits were previously completed under the mandate of the consent decree, and were subsequently codified within the Department Manual to be completed on a regular and periodic basis.

24	Sworn Perishable Skills Audit			X
25	Gang Enforcement Detail Selection Criteria Audit	FY 2012/13	X	
26	Tracking of Equipment Audit (Metropolitan Division Inventory Audit)		X	
27	Local Area Network Security Audit		X	
28	Risk Management Information System Audit		X	
29	Use of Force and Complaint Management Systems Audit		X	
30	Deployment Planning System Audit			X
31	Air Support Division Audit			X
32	Off-Duty Work Permit Audit			X
33	Juvenile Procedures Audit	FY 2013/14		X
34	Vice Unit Audit	FY 2013/14	X	X
35	Gang and Narcotics Division – Major Enforcement Audit			X
36	Bomb Squad Audit	FY 2012/13		X
37	Interfering and Resisting Arrest Audit	FY 2012/13	X*	
38	Scientific Investigation Division Audit	FY 2011/12		X
39	Property Division Audit	FY 2012/13	X*	
40	Personnel Selection Audit	FY 2013/14		X
41	Robbery Homicide Division Audit		X	
42	Real-Time Analysis and Critical Response Division Audit			X
43	Jail Division Audit	FY 2013/14		X
44	Security Services Division Audit			X
45	Secret Service Fund Audit			X*
46	Training Requirement Audit			X*
47	Limited Tour Assignments Audit			X*
RE-CURRENT AUDITS				
48	Detective Command Accountability Performance Audit (CAPA) - 77 th Street Area		X	
49	Detective CAPA - West Valley Area		X	
50	Detective CAPA - Harbor Area		X	
51	Detective CAPA - Foothill Area		X	
52	Detective CAPA - Wilshire Area			X

53	Detective CAPA - Mission Area			X
54	Operations-South Bureau Narcotics Enforcement Detail CAPA²	FY 2011/12	X	
55	Operations-Central Bureau Gang Enforcement Detail CAPA³	FY 2011/12	X	
Continuous follow-up inspections on status of findings and recommendations			X	X

AD ONGOING SPECIAL PROJECTS

1. Basic Law Enforcement Performance Auditing Course, April & October of each year.
2. Law Enforcement Auditing Seminars.
3. Continuous liaison to outside law enforcement agencies across the nation in regard to law enforcement audit topics.
4. Confidential Financial Disclosure Coordination.
5. Special projects requested by various commands.

²This audit was part of an audit cycle; the other three bureaus have been previously audited, and Operations-South Bureau was the last bureau in the cycle. In the future, the Narcotics Enforcement Detail will be included in a Department-wide audit.

³This audit was part of an audit cycle; the other three bureaus have been previously audited, and Operations-Central Bureau was the last bureau in the cycle. In the future, the Gang Enforcement Detail will be included in a Department-wide audit.